

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                           | 1201072  |
| <b>Application Number:</b>               | 10598898   |
| <b>Confirmation Number:</b>              | 1805   |
| <b>Title of Invention:</b>               | HIGH BRIGHTNESS ILLUMINATION DEVICE WITH INCOHERENT SOLID STATE LIGHT SOURCE |
| <b>First Named Inventor:</b>             | HANS ZOU   |
| <b>Customer Number:</b>                  | 24737  |
| <b>Filer:</b>                            | Michael E. Marion/EDNA CHAPA   |
| <b>Filer Authorized By:</b>              | Michael E. Marion  |
| <b>Attorney Docket Number:</b>           | US040151   |
| <b>Receipt Date:</b>                     | 14-SEP-2006  |
| <b>Filing Date:</b>                      |  |
| <b>Time Stamp:</b>                       | 14:26:25   |
| <b>Application Type:</b>                 | U.S. National Stage under 35 USC 371   |
| <b>International Application Number:</b> | PCT/IB05/50897   |

### Payment information:

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| Submitted with Payment                   | yes    |
| Payment was successfully received in RAM | \$ 900 |
| RAM confirmation Number                  | 1122   |
| Deposit Account                          | 141270 |

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### File Listing:

| Document Number  | Document Description                         | File Name                  | File Size(Bytes) | Multi Part | Pages |
|--|--|----------------------------|------------------|------------|-------|
| 1  | Application Data Sheet                       | PHUS040151_ADS.pdf         | 1731673          | no         | 4     |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 2  | Preliminary Amendment                        | PHUS040151_PRELIM.pdf      | 73456            | no         | 3     |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 3  | Oath or Declaration filed                    | PHUS040151_DECLARATION.pdf | 104902           | no         | 2     |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 4  | Information Disclosure Statement (IDS) Filed | PHUS040151_IDS.pdf         | 981176           | no         | 5     |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 5  | Foreign Reference                            | PHUS040151_EP1416545.pdf   | 645186           | no         | 16    |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 6  | Foreign Reference                            | PHUS040151_EP1496380.pdf   | 384870           | no         | 7     |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 7  | Foreign Reference                            | PHUS040151_EP1372008.pdf   | 796656           | no         | 17    |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |
| 8  | Foreign Reference                            | PHUS040151_WO200029785.pdf | 980270           | no         | 25    |
| <b>Warnings:</b>   |  |                            |                  |            |       |
| <b>Information:</b>  |  |                            |                  |            |       |

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|-------------------------------------|-------------------------|---------------------------------|---------|----|----|
| 9                                   | Foreign Reference       | PHUS040151_JP11261111.<br>pdf   | 781470  | no | 10 |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 10                                  | Foreign Reference       | PHUS040151_JP200308684<br>5.pdf | 497523  | no | 8  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 11                                  | Foreign Reference       | PHUS040151_JP051135258<br>.pdf  | 153295  | no | 3  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 12                                  | Foreign Reference       | PHUS040151_JP61230110.<br>pdf   | 113802  | no | 3  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 13                                  | Foreign Reference       | PHUS040151_JP200029483<br>1.pdf | 1771508 | no | 22 |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 14                                  | Foreign Reference       | PHUS040151_JP60213069.<br>pdf   | 100012  | no | 3  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 15                                  | Foreign Reference       | PHUS040151_JP58131781.<br>pdf   | 102663  | no | 3  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| 16                                  | Fee Worksheet (PTO-875) | fee-info.pdf                    | 8427    | no | 2  |
| <b>Warnings:</b>                    |                         |                                 |         |    |    |
| <b>Information:</b>                 |                         |                                 |         |    |    |
| <b>Total Files Size (in bytes):</b> |                         |                                 | 9226889 |    |    |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## Electronic Patent Application Fee Transmittal

**Application Number:**

**Filing Date:**

**Title of Invention:**

HIGH BRIGHTNESS ILLUMINATION DEVICE WITH INCOHERENT  
SOLID STATE LIGHT SOURCE

**First Named Inventor:**

HANS ZOU

**Filer:**

Michael E. Marion/EDNA CHAPA

**Attorney Docket Number:**

US040151

Filed as Large Entity

### U.S. National Stage under 35 USC 371 Filing Fees

| Description                             | Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |
|---|----------|----------|--------|-------------------------|
| <b>Basic Filing:</b>                    |          |          |        |                         |
| National Stage Fee                      | 1631     | 1        | 300    | 300                     |
| Natl Stage Search Fee - Report provided | 1642     | 1        | 400    | 400                     |
| National Stage Exam - all other cases   | 1633     | 1        | 200    | 200                     |

**Pages:**

**Claims:**

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

| Description                       | Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |
|-----------------------------------|----------|----------|--------|-------------------------|
| Post-Allowance-and-Post-Issuance: |          |          |        |                         |
| Extension-of-Time:                |          |          |        |                         |
| Miscellaneous:                    |          |          |        |                         |
| Total in USD (\$)                 |          |          |        | 900                     |